

MEMO

To: Ingo Swann
From: Hal Puthoff
Subject: Projects

Date: 11 October 1983

-
1. In this memo, I want to outline the projects that our clients are interested in, which essentially match what you and I have input.
 2. First, with regard to training: Tom's group is excited about seeing Tom continue, first completing S-IV (for which we still have a contract), and then going on to S-V & S-VI.

They also have two new people on board (found using the same psych profiling by which they chose Tom), and would like to enter them on your training track, S-I thru S-III. I would be glad to work with you in delivering this training in whatever role you would like. Assuming we move ahead on this, perhaps Debbie could be held in abeyance until these two reached her level of proficiency, and then the three of them could move ahead together, to keep down the duplication of effort. (Just a suggestion - - I don't know what Debbie's status is with regard to this fiscal year; I think as far as that client goes, it depends on the final form of Jack's contract)

3. Another area we have discussed is Search, and the probability that it is treatable on the basis of S-V tech. Jack is very interested in Search, so we have the opportunity of setting up a special S-V Search Project and have at it, if you think that is appropriate. We are being asked to examine Search from every possible angle, so, in line with our other discussions about project accountability, I would propose that if Search is looked at from more than one viewpoint, each Search project be set up separately so that it stands or falls independently on the basis of its product, and thus the S-V Search task would be a separate project, and any additional Search concepts you might want to try could be handled similarly.
4. We have also gotten the OK to move ahead on looking for ELF correlations, Marsha has put together a list of equipment and data required to start on that, and we could begin to generate an outline as you suggested to Dale. We can now also lease a down-link satellite system from NOAA that gives us essentially realtime data on certain of the geophysical variables, a service that has just come on line.
5. Also, Jack and Jim are agreeable that R&D on training, training development, and any new ideas you might have with regard to increasing the accuracy, reliability and resolution of RV, should be followed up. Given that we have a good track record in moving ahead successfully toward better products, more of the same is in order.
6. Finally, any input on your part with regard to any other aspect of the project you take an interest in, such as countermeasures physics experiments, etc., etc., is sought. In each area of interest, we can set up whatever projects are required to get to the bottom line, so here you have a chance to "play," as it were, since we are starting from scratch, no stone to be left unturned, etc. What I'm trying to say, in essence, is that whatever area you have a creative idea to explore, and the time to do it, it looks like we can move ahead on it. Reminds me of when we first started this project so many years ago.

business delayed itself for over 17 October 1983 and not
culminate until I sent you a telegram of resignation -
at which time all was organized in 6 hours.

Dear Hal - RE: General project matters

I requested the storage room upstairs for a badly
With reference to your long and possibly welcome telephone
call of 14 October, I'd just like to put into the record a
few points, since it is based upon an understanding and somewhat
of agreement on them that our working together might proceed.

1. When you insist, as you have, that the major thrust of
our "project" is based around my creative contributions, and that
it is these that the clients are interested in, and if I were to
leave the "project" you would go and work elsewhere too, I truly
find that I can be little gratified. For, if one has such a
"treasure" one normally would make considerable effort to perpetuate
a virtual utopia for that "treasure's" presence within the project.
conveniences yourself, these generally have not taken place.

Frankly, just the opposite is true. I feel that my work is as
well-done as I can do under the circumstances. And yet our history
since 1978 is so stressful that I am compelled to abandon it altogether
under yours and SRI's auspices unless it does now achieve a positive
and permanent change for the better. I'd like you to view this
not as a threat but sort of a fact.

2. In general we are agreed that the condition of creativity
in parapsychology is in a dismal state based, probably, upon the
several false and fictional expectations that govern the intelligence
and productivity of many who are attempting to work in it. This
implies that NEW and fruitful approaches have needed - and will
continue to be needed - to be envisaged and implemented. These
imply CHANGE in ideas and working parameters. It is in this area,
I take it, that I have been particularly helpful. Yet, during these
last years, when I have essayed a change either in research or in
accommodation in the project itself, these are frankly resisted on
such a wholesale basis that it is nearly impossible to comprehend
this basis at all, if, as put forth in paragraph 1 above my work,
has achieved the strategic fulfillment it has. This has led to
my having to take strong emphasis and drive these issues of change
into a "crisis" state before they are complied with. This has,
in turn, disturbed all concerned, and has led to fractionalism
and enormous bad feelings among all. 1979-1982 period, among the
"group" that has worked upstairs there have been NO repeat NO

3. In our telephone call of the 14th, you did admit to
having variously organized the project around your own conveniences,
and now have stated that you probably will not do that any longer.
This is a welcome statement, if, indeed, it is to be born out in
fact. On the other hand, you stated that my requests have all
along been attended to, and it is only my "impatience" that has
occluded the fact that they were being worked on.

Salary matching: when it became apparent in 1978
that we would have a breakthrough in training, and it became
apparent that this would lead to a long term program, I asked
that my fee be matched to your salary because the value of
my work was now worth this fee-status. This salary matching

business delayed itself for over 18 months and did not culminate until I sent you a telegram of resignation - at which time all was organized in 6 hours.

b. I requested the storage room upstairs for a badly needed viewing chamber, and did not receive any cooperation for two years until I moved out the junk myself.

c. I began requesting assistance in retirement and insurance benefits in 1979, due to the fact that the training portion of the project had received attention that indicated a very long term commitment if we were to proceed. 1979 is over four years ago.

4. These above should stand as examples generally for our overall state of non-cooperativeness. But even worse, whereas there have been many instances in which you could have initiated conveniences yourself, these generally have not taken place.

5. I know you think I am avoiding mention of your own contributions and overstating these other items; but indeed, with so much antagonisms outstanding on these items you yourself will have to acknowledge that the other benificent things might become submerged.

6. The thing that governs all this mess is, of course, patterns of attitude. It is quite apparent that I do not understand exactly what these attitudes are. But the overall result is that even though my work contributions have become a major structural element in the ongoing work of the project, my requests for changes and implementation of new ideas have received an extremely low priority in the minds of all concerned. This means, in one respect, that I probably have a low and antagonistic charismatic factor which permits no one to take these initial requests seriously, and thereafter to proceed ever so slowly if proceeding at all upon them.

7. While in one way we have to take people as we find them, on the other hand, methodologies should be designed to facilitate the resolutions of any problems. While I am not unaware of course of my own often forceful personality which might indeed grate upon more sensitive nerves, I must point out that inspite of our history of utter argumentations during the 1979-1982 period, among the "group" that has worked upstairs there have been NO repeat NO argumentations at all; while among the group "downstairs" things have proceeded in their usual antagonistic manner. The "downstairs" is your territory.

8. Now, what is to be done? I would like a written response from you as to methodologies that might be set up to avoid the difficult-to-spot sources of mismanagement and and procrastinations that have become characteristic when treating and implementing many of my requests.

17 October 1983

9. In the past, the vast majority of our discussions as to matters like these have been held verbally. I might propose that these discussions now start to be governed solely by memoranda as to the content of the changes and work status to be brought about, and that these memoranda be followed up expeditiously with written intent, difficulties that might be encountered and a time-clock set upon them. I should be grateful if you would let me know soonest if you care to design such a program of memoranda, or propose, if you find a better way, some alternative that will fulfill the similar purpose.

Cordially,

Ingo Swann

Frankly, just the opposite is true. I feel that my work is as well-done as I can do under the circumstances. And yet my history since 1978 is so successful that I am compelled to abandon it altogether under yours and GRI's auspices unless it does now achieve a decisive and permanent change for the better. I'd like you to view this not as a threat but sort of a fact.

2. In general we are agreed that the condition of creativity in parapsychology is in a dismal state based, probably, upon the several false and fictional expectations that govern the intelligence and productivity of many who are attempting to work in it. This implies that GRI and GRI's approaches have needed - and will continue to be needed - to be re-evaluated and implemented. These imply CHANGE in their own characters. It is in this light I take it that you have been so helpfully, yet, during these last years, wary, with respect to whether in research or in education. In the present world, these are the only realistic or such a state of affairs. In the past, it was not so. There has been a change in the world, and it has been a change that has led to a "crisis" state which you are now living with. This has, in turn, disturbed all our lives, and has led to frustration and enormous bad feelings being all.

3. In our telephone call of the 1978, you did admit to having variously organized the project through your own interventions, and now have stated that you probably will not do that any longer. This is a welcome statement, if, indeed, it is to be true in fact. On the other hand, you stated that my requests have all along been attended to, and it is only my "impatience" that has occluded the fact that they were being worked on.

a. Salary matching: when it became apparent in 1978 that we would have a breakthrough in training, and it became apparent that this would lead to a long term program, I asked that my fee be matched to your salary because the value of my work was now worth this fee-status. This salary matching

I will be the first to understand that I think that creative work can be done anywhere, but I still will state, according to my understanding of the principles of creativity, that this is adamantly not generally true, although it may be in certain circumstances.

RE: New York work accommodations

Dear Hal -

As you are aware, I have requested since 1979 some consideration as to having part-time working space in New York.

1. To give me some relief from having constantly to go to SRI to work. We have arrived at some concept of this, but I should here like to establish the rationale in writing.

2. To further accommodate the creative periods of my personal contributions to the work, the vast majority have been arrived at through the facilities that New York has to offer, and which SRI does not have. This latter point may have escaped the awareness of all.

Let me thus state this as clearly as possible.

The progress and discoveries that have been made, and which have been brought into the SRI project, all have taken place in New York. And have been implemented only within the SRI framework.

I feel it should be born in mind that since these discoveries are the result of creative preoccupation with the work to be achieved, this idea of "work" should be thought of as distinct from the idea of 9 to 5 office work. Actually, the understandings and techniques that have been arrived at vis a vis my participations are constituted not on a 9 to 5 commitment, but constant vigilance and intensive participations in several of the many facets that comprise my personal understanding of the materials that are involved. These have taken place in New York because my life here seems thrilling and worth living; because of the many research terminals that exist here (libraries, parapsychologists, etc.) and also because here in New York I have been able to have repose and detachment from the severe tribulations that we undergo within the SRI syndrom.

I am aware of course that many people would be of the opinion that I might have ere to removed myself to Menlo Park and worked from there. I am also aware that these selfsame people probably might not be able to understand that while this might have stabilized the 9 to 5 expectations, and the creative end of my own contribution would have suffered.

As it has transpired, while I have been contractually committed to 150 days on the project, in reality this commitment has far exceeded that limit in so far as I have spend increasing and endless hours in New York trying to come to grips with the delicate and subtle intellectual and mental constructs that have now been proven to underlie our increasing comprehensions of the psi processes.

17 October 1983

I will be the first to understand that many people will think that creative work can be done anywhere. But I shall also state, according to my understanding of the principles of creativity, that this is adamantly not generally true, although it might be in certain circumstances.

The creative person feels he or she must work in a total environment that CONTRIBUTES to the end product. If the person is distraught at the surroundings and they do not offer up enough to constantly contribute to the mentations necessary; and if the working conditions are constantly antagonistic (from the sarcastic comments of secretaries up to and through covert manipulations of associated workers); then these do not contribute to the work and are counterproductive in the long run. New York has to offer, and through the facilities of SRI, New York has to offer, and through the facilities of SRI, New York has to offer, and through the facilities of SRI, New York has to offer.

To this we can add that our work has long passed the state which demands all our collective presences at SRI itself in California. We now know that offices here in SRI New York have long been potentially available; and while the clients may have their special qualifications, a partial move to them should have really already taken place.

As we discussed in our telephone conversation of 14 October 1983, certain arrangements are in hand to try to establish office space here in New York. You have said these may not be on line as fast as we may desire. I would like to have from you a written confirmation that this working accommodation is in hand and will take place in some form as speedily as possible.

I can not of course ask for exact comprehension in this matter, and I can not account for the many seeming reluctances to ultimately allow this establishment. But it is my conviction that overall the work will proceed and the creative contribution on my part will be generally enhanced. If this is what you are "buying" from then more cognizance must be taken of it than hereto.

Cordially,

I am aware of course that many people would be of the opinion that I might have erstwhile removed myself to Menlo Park and worked from there. I am also aware that these selfsame people probably might not be able to understand that while this might have stabilized the 9 to 5 expectations, and the creative end of my own contribution would have suffered.

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17 October 1983

RE: NY work reimbursements and expenses

Dear Hal -

I am still going over the fee remunerations and percentages being offered for insurances and etc. I don't have a good picture of them in mind yet, but will soon reply in full to them.

I wish to bring to your attention that in the past a good deal of our project's work has been done by myself in New York, at my own expense. And now with the potential of having SRI office space here too, I shall probably accrue further and additional expenses that are similar to those which I accrue in California and for which I submit expense vouchers.

I will propose therefore that within the overall financial fee structure we may ultimately enter into shortly that there be included a clause that takes knowledge of my NY work-related project expenses that I will submit written evidence for; and further that these expenses should not during the ~~fixa~~ fiscal year exceed \$12,000.

These expenses will include: project travel expenses, taxi and subway, interviews with other researchers and related expenses, partial rent for studio space to set up an extensive library and a computer terminal, library and materials purchases, per diem for days in NY working on project, etc.

Actually some of these expenses should have been established and allowed before now. At any rate, I hope no one will expect me to insert all this in my own living space at the expense of my other projects and at my own expenditures.

I would propose that we establish a list of feasible expenses that would achieve the approval of all upon which I shall be able to submit expense vouchers.

Please let me know in writing any considerations against this.

Cordially,

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17 October 1983

RE: Trainee compliance

Dear Hal -

As has become established during the course of my designing a training package for these subtle and delicate mental and intellectual tasks that underly our training course, one of the prerequisites is that the trainees must accept and perform all of the training aspects that are assigned to them; they must attempt to do these to the best of their ability and any objection to doing them must be advanced in writing in the daily reports.

The reason for this is, of course, that I am not a tyrant, but that these techniques require specific and accurate participation by the trainee. I have made every attempt to ensure that these are not unreasonable.

During the penultimate training procedures and classes, it became apparent that one of the client preselected trainees did not comprehend the concept of sketching, and as this was certainly eventually to undermine the increasing objectifications of his perceptions, he was asked to study sketching and to work and produce 100 sketches to increase his sketching aptitude. He had over six weeks in which to accomplish this. This therefore amounts to a little over two small sketches a day.

Yet when he arrived back at the project, he had not completed this assignment.

At this point therefore we must consider creating a form or memorandum that all trainees and their in house supervisors will sign indicating that trainee compliance is a very important item and will be adhered to.

Please also inform the client that the above mentioned trainee need not return for further work sessions until he can bring with him 100 sketches that I will review to ascertain his increase in skill relevant to sketching.

Cordially

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Cordially

Ingo Swann

MEMO

To: Ingo Swann
From: Hal Puthoff
Subject: Check

Date: 9 Nov 1983
110983-HP3

In response to your inquiry about your check, and referring back to our conversation about the matter by telephone, Melissa had estimated that it would be available on Wednesday, 16 November, which is a week from today. This was in response to my letting her know that you were going to arrive on 7 November, would not be here for a full two weeks, but would like a check before you left. She said that the soonest she thought it could get one through the system was by Wednesday of the second week, hence the estimate of the 16th.

MEMO

To: Joe Wagner
From: Hal Puthoff
Subject: Check for Consultant Swann

Date: 6 July 1983
Location: G-219
CC: SWANN

I have a problem that I hope you can help me with. As you know, we have a consulting arrangement with Swann of a retainer type, one aspect of which is that we have arranged for the computer to release his monthly retainer fee on an automatic basis at the beginning of each month. Our most recent version ran out at the end of June.

In order to have his contract period match that of our contracts (which are on a Fiscal Year basis (October 1 starts), I decided to simply extend his contract for another three months to bring him into phase. Although I started this action in what I thought was enough time, to make a long story short, what with Lorraine Staight leaving, Division chain of approvals overlapping vacation and trip schedules, etc., the new contract did not get reinstated soon enough to keep Swann on the automatic check schedule, the result of which is that he has a lot of bills to pay and does not have an expected check, which is working some hardship on him, since we are his only source of income at the present time. Since there is no doubt that management will approve his extension (or we'll lose our contract to study his training program), is there a way we can arrange to get a check to him (\$3750) quicker than waiting for the approval chain to finally work its way through the system? I think we owe him this effort at this time, as he has extended his time here on the West Coast longer than expected to help us meet an important deadline, but at some financial exposure.

Thanks.



MEMO

TO Dr. H.E. Puthoff DATE 10 Nov 83 6.10 a.m.
FROM I. Swann LOCATION
SUBJECT Memo numbering system. CC

With regard to your idea on how to number memos, I think your method would let us end up ^{with} all sorts of memos in one big pile.

I wish to countersuggest that categories of topics be set up, ~~xxxxxx~~ and that memos within these categories simply be numberd successively. For example,

- Category A - Contract matters
- Category B - Work statements
- Category C - Work load and scheduling
- Category D - Working specifications, requirements, etc.
- Category E - Experimental protocols, etc.
- Category F - Overall science matters
- Category G - Miscellaneous
- Category H - Travel and accommodations
- Category J -

For example, my memos to you regarding contract matters would simply be numbered as SW (for Swann) A (for contract matters) and thence 1 through 10,000 (since it seems we might reach that number of memos on this particular matter before we are through.) Your memos would be numberd HP-A- 1 etc.



MEMO

TO Dr. H.E. Puthoff DATE 10 Nov 83 5.30a.m.
FROM I. Swann LOCATION
SUBJECT Clarification of fee CC

I should like first of all thank you for both our lengthy telephone conversations of yesterday, most of which seems to me to be leading in constructive directions.

During these conversations, while we were discussing my workload and dual client requirements, you mentioned that if I did not simultaneously attempt to fulfill work for both clients, that perhaps my fee amount could? would? might? be brought into question, and the issue of whether ~~it~~ was worth the fee could very well arise.

I feel at this point an immediate clarification of this must be had.

For my part, it is easy for me to understand that work must be commensurate with fee, and that fee must be commensurate to work demands and requirements. I am by no means locked into any given fee, except to say that due to overall circumstances and increase of responsibility and costs of living, the overall fee has to increase. You have offered me the current figure. If this offer is now to be changed or ameliorated, when it seemed to me to be somewhat concluded, this issue must now arise once again as a basic structural contract dispute with regard to continuing work.

The legitimacy of my fee must always be conducted officially. I don't think I can be responsible to unofficial implications, which I might well interpret as *undue* pressure to undertake work. If there ~~are~~ reservations as to whether or not I am worth the fee, then these must be brought to discussion immediately, and in advance of all other negotiations.

Analogous to this, I must let you know that at the moment I am in tentative negotiations with two other potential clients, one of whom has made me a firm offer based upon a fee that was deliberately put at 50% ~~xxx~~ above what you are offering me. The other interested party has offered me a consulting fee at 150% above what you are offering, for a limited number of days, but days to be at that client's discretion. I have had to let both these offers hang fire until our negotiations/^{here}are completed, solely because I feel our work is more important. I will not be able however to let these offers hang fire past 15 Dec 83.

If, now or in the future any of your clients or yourself begin to speculate that my work is not worth my fee, I should respectfully request that you inform me of such reservations as soon as you can.

SRI shall not task the consultant on or after 25 October 1983, the implications of which in intellectual time spent and physical pursuit of shall exceed the days of /which

Points of issue for which agreements must be reached and so stated in writing prior to signing a contract between SRI International and Ingo Swann (Consultant).

writing in advance, allowing for reasonable time for

1. It is to be understood that SRI is inviting the consultant to either conduct original research or work upon in a consultative status in areas of established mutual interest, these areas being those in which the consultant is capable or potentially capable of achieving progress otherwise not generally attainable, feasible, and for which special and specific talents are necessary. The consultant shall be allowed reimbursement for expenses engendered in New York not to exceed \$10,000.
2. SRI's invitations must be tendered to the consultant in writing, by topic, and may be accepted or declined by the consultant. If accepted, the consultant will outline in writing a suggested workload and timetable along with financial implications. It is to be born in mind that original research often is misassessed as to probably completion times; however both SRI and the consultant will exert available efforts to achieve platforms along the way. If the work is declined by the consultant, with written reasons, no further undue pressures shall be permitted by SRI to be exerted, and in lieu of speedy resolution, the consultant shall have the right to take the problem upwards in the hierarchy.
3. The consultant has performed for several years now invitational research by SRI, and the consultant's track record should now achieve merit. Therefore SRI shall timely give all aid, assistance, help and facilitations to all novel ideas in terms of original research and attentive considerations to all suggestions for work progress tendered by the consultant, promptly and a written explanation as to how resolution of the requested matter is to be handled.
4. SRI shall undertake to run interference as regards work confrontations, misunderstandings, personnel quibbles, etc., as regards the consultants work and presence within the project. To this end, a clear definition of the consultants position vis a vis SRI and other SRI personnel shall be made clear and agreed upon.

5. SRI shall not task the consultant workloads the implications of which in intellectual time spent and physical pursuit of/shall exceed the days of /which work specified in the contract.

6. All reports, etc., are to be timeclocked in writing in advance, allowing for reasonable time for preparation of such reports. No reports are to be requested by SRI or by interested clients without allowance for appropriate reporting time to be established.

7. One-third to one-half the year's time to be spend in original research or consultanting matters is to be conducted in appropriate offices in New York. To this end the consultant shall be allowed reimbursement for expenses engendered in New York not to exceed \$12,000.00.

8. The consultant shall be allowed to express preferences among working people, and in no case shall be forced or expected to work with individuals towards whom the consultant has experienced past difficulties of cooperation.

9. The daily history of the SRI project has historically been wrought with emotional conflicts, confrontations and psychological difficulties. SRI shall take every effort to ensure that these, if they occur, are speedily resolved, and in lieu of speedy resolution, the consultant shall have the right to take the problem upwards in SRI management without interference.

10. All other matters not specifically related to original research or consultations upon substantive scientific or experimental matters shall likewise be tendered by both parties in written form. Action items requested by the consultant shall be acknowledged as received promptly and a written explanation as to how resolution of the requested matter is to be handled.

SRI shall undertake to resolve all matters of work confrontations, misunderstandings, personal quibbles, etc., as regards the consultant's work and presence within the group. To this end, a clear definition of the consultant's position vis a vis SRI and other staff members shall be made clear and agreed upon.

26 October 1981

Dr. H.E. Puthoff
Psychoenergetics Project
SRI International
Menlo Park, California 94025

Dear Dr. Puthoff -

As a result of your letter dated 21 October 1983 and our long telephone conversation late in the afternoon of 25 October 1983, we have reached a point where we have agreed that I will sign a temporary three-month consulting contract with SRI, as attached to this letter in two copies.

It is to be understood that the purpose of this three-month contract is two-fold:

- a. To expedite the onset of the year's work and scheduling among the client-interested personnel;
- b. To give a little time to continue to iron out certain working conditions that will be fundamental if we are to proceed past that point.

I wish in this present letter to draw your attention to paragraph 3 of the form Consulting Contract, i.e., the first two sentences. The exact interpretation of this clause has in the past apparently not been completely understood by certain other project affiliates, or has been misinterpreted. This state of affairs has led to rather confused and psychologically disturbing confrontations, the result of which has led me to my potential decision to abandon all work with SRI.

In addition, it has always been implicit that if SRI wishes the Consultant's work to be accomplished, that SRI would undertake to expedite all aid and assistance to those ends vouched for by the Consultant or recommended. The immediate response to these ends and recommendations, the nature of this response, the aid and assistance required, has now long been constant bones of daily contention and confrontations. As a result, a cumulative result, of this state of affairs, many items that hereto may have been graciously and implicitly expected, must now be rendered into a contractual addendum. To this end, I have drafted out some ten points attached and request that you work them up into an appropriate form.

If we are to consider a contractual relationship subsequent to the termination of this three-month deal, I request that all negotiations be concluded in the shortest amount of time possible, so that the year contract can be in effect no later than 30 November 1983.

Cordially yours,

Ingo Swann

4. I have assumed that during 5 November 1983 work that SRI would extend all reasonable assistance as to working requirements necessary for RE: Contract identity Psychoenergetics Program SRI International work, Menlo Park, California 94025

5. In return, when I had completed my assignments to Dear Dr. Puthoff, as subcontractor, I should then present my work and its results to you for whatever

Before we can proceed much further in our work that depends upon an active consulting contract, it would now appear that the meaning of that contract needs to be cleared up or reaffirmed. I therefore ask that you seek to have verified SRI's interpretation of the consulting agreement between myself and your institution. I refer specifically to the first two sentences of paragraph 3 in the printed SRI International Consulting Contract, which read as follows:

- "3. Consultant shall at all times be an independent contractor and not an employee of SRI. SRI shall neither exercise nor have any right to control
- 7. the Consultant as to the means by which Consultant's work is to be accomplished. ..."

During the course of the last several years association, it seems to have become clear that the condition of my association with SRI and the psychoenergetics has not been adequately understood at various times, under various issues, and by various other personnel. This, in retrospect, can be now seen, I think, as one of the sources of our frequent confrontations.

As a matter for the record, I should herein below like to set forth my understanding of the intent of the above quoted contractual stipulation.

SRI invites me to conduct novel and/or research and experimentation in the general area of quite problematical psychoenergetics.

2. In the past this area for research has been left future, open-ended and as generally exploratory; but as we have progressed, the topics for research and experimentation have become more identified, understood and thus specified.

3. If I agree to conduct novel and/or research and experimentation, I have assumed that the intent of paragraph 3 quoted above meant that during the course of the work subcontracted to me, that SRI would comply with the hand's off implication.

4. I have assumed that during the course of the work that SRI would extend all reasonable aid and assistance as to working requirements and materials necessary for physical achievement of the work.

5. In return, when I had completed my assignments to my satisfaction as subcontractor, I should then present my work and its results to you for whatever continuing elaborations you might deem feasible. This always has been accomplished with full disclosure to you as project director, and always with full SRI oversight presence.

6. In addition, I have always to my knowledge responded with full co-operation on all major issues, to emergency demands by your clients, to reordering my work to meet sudden priorities, and by continuing to present myself and my work physically at SRI even though it has been of severe inconvenience at times to do so.

7. Further, again in addition, I have also tried to my fullest capability to co-operate with external contracting agents of your clients and, I believe, have been reasonably responsive to their needs.

As of this writing, the DIA resident contract monitor has informed me verbally that he is now to act as defacto project manager and science director on our work, and that if I did not like this I could "look for a job elsewhere." I do not know, after some reflection and worry on this event, how to respond, and therefore request your advisement.

Additionally, it seems to me clear that associated personell at SRI seem to view me as an SRI employee who is expected to respond within a chain of command as to the conduct of my work. This seems to have led to their "hand's in" interference and the emergence of attitudes that have been quite problematical.

At this time, therefore, before we continue into the future, I believe a dated restatement of my contract identity should be established, that all associated personnel become familiar with it, and that a written verification of SRI's understanding of their consulting contract be provided me.

Cordially yours,

Ingo Swann

5 November 1983

Dr. H.E. Puthoff
Psychoenergetics Program
SRI International
Menlo Park, California 94025

RE: Contract identity

Dear Dr. Puthoff,

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Cordially yours,

Ingo Swann



MEMO

TO Dr. H.E. Puthoff
FROM I. Swann
SUBJECT End of three-year project

DATE 5 August 1983
LOCATION:
CC: J. Salyer

As you know, the end of the "three-year project" is at hand, and there is talk of the commencement of a "five-year project." At this time, a few items should be mentioned.

As you also know, I am obliged to render a report on the work I have been contracted to do. In essence, the written form of the briefing I presented today to the science panel shall stand as a nucleus for that report, and might in fact constitute the report in total, if that is acceptable to you.

Jim Salyer has explained to me that he is required to render his three-year report by 15 September 1983. I undertook to show and explain to the DDT the nature of the evaluation of the files underway and the unfeasibility of completing that evaluation by 15 September. He felt that such a complete evaluation, for the purposes of the report, was not needed and in fact would not be servicable for his present purposes. He asked for, instead, a summary and one example of the work of a trainee. This can be derived from the contents of the science panel briefing; but, from my point of view, I think I should like to include the total work from Stage 1 through Stage 3 of the remaining INSCOM personnel. I shall hope to have this available for you somewhat prior to 15 September.

As to my continuing onwards into the next period, I find I have not enough information available to take a decision. If I might be provided with three things, I would be better prepared to make a decision.

Although I am only a consultant, I wonder if at this time if it would be appropriate for SRI to render a sort of efficiency report concerning my past participation in the work and in the project. I would invite you to be as candid as possible in any given area, and I would hope that this report might be undertaken in consultation with the clients. I would also hope that if it is produced at all, it might be signed off by B. Leonard. I feel that such a report is necessary at this time, for I do not really have ^{formal} an overview of how it is that you and SRI in general view my attempts at contributing to the work overall.

While it might be generally impossible for you to do so, in view of the changing daily status of the project, I would not wish to enter into the next period without some idea of how it is that SRI will structure ongoing work. While I am aware of the difficulties in so doing, none the less a nucleus scheme should be devised so that I might better see, if I am to be invited at all, wherein my particular interests in research might fit as well as the conditions I would be invited to work under. I would wish to receive this schema in writing for it will underly the basis for further contracting with SRI.

During the past few weeks, the major client has talked of work in the context of a "five-year project." I shall wish to know if I am to be briefed on the overall nature and goals of that idea, and if I am not to be briefed, I would of course want to understand why not.

If, after these three areas have been addressed in some form that will make it possible for me to make a decision, and if you also decide to further invite my participation, this invitation will have included in it a revised proposal for reimbursements for my time and creative efforts. In the past, as you know, our agreement called for only part-time participation at the rate of 150 day/work per year. As responsibilities have increased concerning my participation, it has become necessary to treat my participation as full-time. Both you and the clients have levelled tasks at me that could not have possibly been feasibly undertaken on the contracted part-time basis; and, although this is generally in violation of the consulting contract, I have not generally protested it due to my own deep interests in the fulfillment of the tasks assigned. This situation has, however, seriously eroded my money-earning capabilities in other fields in which I had become somewhat established before the SRI work load increased. I have generally apprised you as to the overall nature of what will be required if there is to be a renewal of work on my part for fiscal 84 and beyond. Your offer to me, if you eventually make one, should be made upon the basis of our past conversations on these points.

MEMO

To: I. Swann
From: H. Puthoff
Subject: FY '84

Date: 8/30/83



This is a memo with regard to the FY '84 period. Although, as you realize, much is unsettled with regard to the status of task requirements, sponsorship and funding, some preliminary data is available that permits me to make some preliminary projections with regard to the coming year.

First, it is clear that a number of tasks are of such a nature that your participation in the program is assured if you wish to continue to be involved, and therefore I invite you to do so. We have a contract already in-house to train Tom on S-III & S-IV, and the funds are set aside for that purpose. In addition, I am told that the same client would like to have a minimum of two new individuals, and possibly three, taken through Stages I - III. Further, there is every possibility that Rob would return for further training. Finally, we have been asked to carry out an investigation of various search techniques, and I think your Stage V efforts could and should contribute to this. Tom tells me that there is also interest in the geophysical/EM monitoring and correlation, although I have had no verification of this directly from the client; I will determine this next week when we have our visit.

As regards Jim/Jack's involvement, I have no information other than that they are trying to reverse the present prohibition. We'll simply have to wait and see, which makes it very difficult to plan or to advise you of what additional options we have. Therefore, it is difficult to be precise as to the amount of funding and work available, and I too am the effect of this with regard to my own involvement.

With regard to issues other than tasks and funding, I agree that travel to SRI for much of the training is not necessary, and it appears that the client is sensitive to this issue as well as I. Therefore, we can go forward in planning on the assumption that much of this activity, if not all, can be carried out on the East Coast at locations mutually agreeable to you and the client. For SRI's part, we can offer the SRI locations in New York and Washington. I will try to get clarification on the client's requirements next week, e.g., as to their requirement with regard to security, and I will pass this information on to you as soon as I receive it.

Since your involvement in the program has picked up, and given that the major part of your professional time would likely be involved in this activity if you so chose, once we have our funding profile scoped out, it is my intention that we arrive at a mutually agreeable salary increase, and that arrangements be made for some type of retirement and health benefits. The latter two items could be handled either by increasing the amount to you and letting you set up the programs as an individual, or we can arrange for SRI to handle it directly, whichever you choose. I will obtain whatever information I can so that you can choose between the various options with total information available.

I regret that the offer and associated information provided you in this memo is sketchy and vague, but this is the best data I have at the present time, and I thought it was worth getting this to you rather than waiting for full data so that you would know at least what SRI's intentions were with regard to your participation in FY '84.

MEMO

To: I. Swann
From: H. Puthoff *HP*
Subject: Salary, FY '84 Contract, etc.

Date: 9/1/83

CC: J. Salyer

As we both know, your present contract expires on 30 September, and, along with it, the automatic payment of your fee. Given that bureaucracy takes time, the sooner we deal with this, the better. One of the options not available is to extend the present contract yet another time; we need a new contract of some form. By the way, it is not a case of not having money, as funds remain to complete TOM's S-IV training; it's that they cannot be disbursed in the absence of a contract.

I propose that we take steps to insure continuity of your income. The options I see are the following, although you may think of others, in which case we should add them to the list.

1. We recognize that we don't have the time and information yet in hand to iron out the final contract. Therefore, we temporize for the sake of expediency and go forward with the same (or quickly modified) 3-month contract now in force, which would be cancelled and replaced if and when we work out a new one.
2. We make an effort to see if we can come to agreeable terms on a new contract quickly. In this case I suggest the following timetable. During the week of 5 September I will have an opportunity to find out from Tom's people just what they have in mind with regard to FY '84, and what their timetable is. Hopefully, during that week we will also hear from Jim/Dale/Jack/whomever about what the options are on their program. During this week you would also think about whatever new concept for the program/contract you have. We then take the week of 12 September to see if we can come to agreeable terms with regard to project tasking of interest to you, program "surround," and contract. That then gives two weeks for bureaucracy to grind; it may not be quite enough time, but it will be close. During this time we would stay in close comm; I would provide you with dat during the intervening weeks as I get it, and you would keep me up on your thinking.



MEMO

TO Ingo Swann
FROM H. Puthoff *H.P.*
SUBJECT FY '84 Proposed Contract

DATE 28 September 1983
LOCATION G219
CC:

With regard to the financial aspects of our proposed contract for FY '84, I have negotiated the following package, which I hope meets with your approval.

1. Your retainer fee for FY '83 was \$45.0 K
2. In order that you have medical coverage comparable to the SRI plan, we add to this an amount to cover the Blue Cross/Blue Shield plan, as discussed in my memo to you of 8/31, plus some 1.6 K
3. SRI's Cost-of-Living increase for the coming year for SRI employees will be 5%. Doubling this, we add 4.5 K
4. Because of your exceptional contributions to the development of the program, we add a merit increase to match the doubled Cost-of-Living increase 4.5 K
5. Since, by being a consultant rather than an employee over the past ten years, you have not had the advantage of SRI contributions to a retirement fund, which you would have had as an employee, we propose to make this up over a 3-year period by adding \$10 K/yr 10.0 K
6. This comes to a total of \$65.6 K
or an overall raise of 46%, which we suggest apportioning for 164 days at \$400/day (which is, for comparative reference, a rate nearly double that of my own).

I hope this financial package meets with your approval, and other issues are being addressed in separate memoranda of understanding. If you find this acceptable, we can move forward on this aspect of a consulting agreement or subcontract, whichever you prefer, which can be contingent on coming to agreement on additional memoranda of understanding.

MEMO

To: Ingo Swann
From: Hal Puthoff
Subject: Scheduling

Date: 11 Oct 1983

-
1. With regard to scheduling, first, assuming that we come to agreement on a contract, it would be retroactive to 1 October, as we discussed.
 2. Secondly, with regard to training, Tom's people have requested that first priority with regard to their trainees is that Tom be finished up; the SI - SIII trainees could come later. They are sorely pressed to bring Tom on-line for their ops, but are holding him back as per agreement that he should not be used while in training before he completes pre-op status.

Working back from Christmas, and taking into account the Thanksgiving holidays, in order for Tom to get reasonable time in, they asked that I check with you as to whether the first training period might begin 24 October, so I pass that along to you.

Whether we could get the NY offices operable within this period is problematical, as it also requires that Tom's special people come up to examine it beforehand, so another thing to factor into the equation is whether you would want to do the first training period out here or wait until the NY office is ready. This I leave to you to consider as part of the overall FY schedule.

3. Finally, as proposed by you, I am in agreement that, assuming things go forward, we should put together a schedule for the whole year. I suggest that you take first crack at what you want, and chances are that I can fit into it.

MEMO

To: Ingo Swann
From: Hal Puthoff
Subject: Space/Facilities

Date: 11 Oct 1983

-
1. Bob Leonard has entered into negotiation with the SRI/NY office with regard to obtaining the type of space we need. I have asked for two end offices (to eliminate the walkby), and indicated that we need black curtaining for light/noise reduction. Leonard has agreed to pay for the space out of overhead so that we do not have to do so out of project.
 2. By negotiation within SRI, I have arranged to get a \$100k shielded room donated to our project, to be taken down where it stands and assembled in some space here within Bldg. G. The room is an entirely enclosed solid metal room with shielded viewing port and air conditioner.

MEMO

To: Ingo Swann
From: Hal Puthoff
Subject: Project Responsibility/Accountability

Date: 11 Oct 1983

1. As a followup to our discussions on responsibility/accountability for project efforts, your request that each project should be treated separately and stand or fall on its own merits/products is, I think, a good idea. My concept of how to handle this is to have several projects, each with clearcut responsibility assigned.
2. In order to provide information to you (and others) as to progress, problems, etc., associated with the different program areas, Jim has suggested that we keep a series of project notebooks up to date on at least a weekly basis, that all would have access to, somewhat similar to your idea of the activity sheets whereby we could keep track of what's going on. In the event that you would want to input to another project, you would have the option of taking your idea directly to the responsible person involved, or having Jim or I act as a go-between.

M of FR (memo for record) ^{9 Nov 83}

7.15 am: Going into my preselected site pool in order to select sites the Duscom trainer had not heretofore worked on, I found ones 50 or so of the necessary folders empty. On inquiring of M. Thompson & found these had been cannibalized for Mr. Salzer. I was thus unable to use the site pool. & had to labor to locate fresh sites. This set back the appointed training appointment. I hereby protest, and indicate that this is interference in my ability to conduct my work. In future my site pools may not be cannibalized for someone else's work. They should accumulate their own research materials when such are needed.

cc Pithoff / Thompson