# SRI

### **CONSULTING CONTRACT**

	Ingo Swann	, hereinafter referred to as Consultant.	
	IT IS HEREBY MUTUALLY AGREED AS FOLLOWS:		
	Consultant will provide such consulting services in the field(s) of	Psychoenergetic research	
	as are from	time to time mutually agreed upon in writing.	
	Consultant agrees to:		
	(a) promptly disclose to SRI all discoveries, improvements, inv Consultant in carrying out consulting services under this Consultant in carrying out consulting services under this Consultant and deliver all information and data, and do all other things who desirable in order to effect transfer of ownership in or to improve inventions, and proprietary data to SRI or its nominee and reasonable request of SRI, or its nominee, for assistance in termination of this association shall not release Consultant actually spent by Consultant in discharging those obligation at a reasonable rate. It is agreed that Consultant will be rein request of SRI, or its nominee hereunder, pursuant to this process.	entract, and agrees to execute such documents, disclose and ich may be necessary or in the opinion of SRI reasonably part a full understanding of such discoveries, improvements to no other. Consultant agrees to comply with every obtaining and enforcing patents. Consultant understands the from obligations hereunder; provided, however, that time is after termination of this Contract shall be paid for by SRI hoursed by SRI for any reasonable expense incurred at the	
	(b) not publish or make known to others any proprietary or co Consultant in the course of performing assignments under t authorized officer of SRI.		
	(c) advise SRI promptly upon discovering any actual or potent assignments for SRI and Consultant's work or activities on		
	Consultant shall at all times be an independent contractor and not an employee of SRI. SRI shall neither exercise nor have any right to control the Consultant as to the means by which Consultant's work is to be accomplished. Consultant shall be responsible for all income and other payroll-associated taxes. In the event of a foreign assignment where the law requires the withholding of taxes and payment by SRI, Consultant agrees that SRI may withhold from Consultant's compensation for that purpose.		
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	responsible for all income and other payroll-associated taxes. In withholding of taxes and payment by SRI, Consultant agrees the purpose.  SRI will, upon receipt of monthly billings (in the form on reverse Three hundred dollars per work performed in accordance with Item 1 and will reimburse Coauthorized by SRI.  The period of performance under this contract shall be from through June 30, 1983, and may be reneterminated at any time by either party giving the other party writers.	the event of a foreign assignment where the law requires the t SRI may withhold from Consultant's compensation for the side), compensate Consultant at the rate of	
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Specimen Invoice over

### SAMPLE INVOICE

John Doe 1604 Second Avenue Washington, D.C. 10101

Date		

SRI International Menlo Park, California 94025

Attention: (Give name of SRI member for whom consultation was provided)

Consulting Fees:  Date(s) Full/Partial Day	Extension	Description of Service	es	
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* Hotel (receipt attached)		•		
** Meals		\$xxxx xxxx		
Tips, etc. *** Transportation	no rathe serve	xxxx		
(WDC/SF - RT) - ticket stub attached		xxxx		
Taxi		xxxx		xxxxx
		Total Fee and Expenses		\$xxxxx
		Signature of Consultant		

All reimbursement will be for actual reasonable expenses only.

- \* Lodging costs must be supported by receipt.
- \*\* All costs over \$25, except taxi fares, to be supported by receipt.
- \*\*\* Travel will be reimbursed at economy class rates only. Mileage rate for use of personal car is \$\_\_\_\_\_ per mile.

#### RETAINER AGREEMENT

This is a contract by and between SRI International, hereinafter referred to as SRI, and Mr. Ingo Swann, hereinafter referred to as the Consultant.

### IT IS HEREBY MUTUALLY AGREED AS FOLLOWS:

- 1. The Consultant will provide consulting services to the Psychoenergetics Program in the assessment of current programs and program development; in proposal development; in experiments; and in report preparation.
- 2. It is contemplated that the equivalent of forty-five days (45 days) of service will be provided by the Consultant during the term of this agreement, in return for which SRI will pay to the Consultant the sum of Four Hundred Dollars per Day (\$400/Day) payable in equal month amounts, and will reimburse the Consultant for travel and other reimbursable expenses. Intensive Consultant work periods shall be scheduled at times mutually agreeable to SRI personnel and the Consultant so as to not conflict with other project fuctions and responsibilities. The Consultant shall maintain records of his time and the general subject matter for the periods of time devoted to this contract, and shall make these records available to SRI on a periodic basis as required.
- 3. It is recognized that the Consultant brings to the Psychoenergetics Program a proprietary analysis technique, CRV, which shall remain his. The use of the CRV technique in the context of the SRI program shall take place only with the knowledge of the Consultant, and its proprietary status shall be noted. Appropriate security measures within the program shall be taken to protect said proprietary material. SRI shall be responsible for providing appropriate quarters, materials, and personnel for carrying on the CRV development work to the extent that such work is funded by SRI clients.
- 4. The additional terms and conditions set forth in the attached SRI Standard Consulting Contract (Form SRI 1600) are incorporated herein by reference.
- 5. This contract shall be for a term of three (3) months, beginning 1 October 1983 and ending 31 December 1983 unless sooner terminated as hereinafter approved. Either party may terminate this contract by giving the other party written notice at least thirty (30) days prior to the effective date of termination; it is, however, recognized that it is SRI's intention to honor the three-month contract commitment, the availability of client funds permitting.

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bate	
NOV 1 0 1983	John Zol
Date	For SRI International at Menlo Park
Consultant's Social Security	

### SAMPLE INVOICE

John Doe 1604 Second Avenue Washington, D.C. 10101

Date			
Date_			

SRI Ir	terna	tional	
Menlo	Park,	California	94025

Attention: (Give name of SRI member for whom consultation was provided)

Consulting Fees:  Date(s) Full/Partial Day Extensio	n Description of Services
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	Total \$x
Expenses:	(0.7 M 1 s) (0.00)
* Hotel (receipt attached)	\$xxxx
** Meals	xxxx
Tips, etc.	XXXX
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	Signature of Consultant

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## CONSULTING CONTRACT

	Ingo Swann , hereinaster referred to as Consultant.	
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_	as are from time to time mutually agreed upon in writing.	٠
3350	Consultant agrees to:	5 -
(a	promptly disclose to SRI all discoveries, improvements, inventions, and proprietary data conceived or developed be Consultant in carrying out consulting services under this Contract, and agrees to execute such documents, disclose deliver all information and data, and do all other things which may be necessary or in the opinion of SRI reasonable desirable in order to effect transfer of ownership in or to impart a full understanding of such discoveries, improver inventions, and proprietary data to SRI or its nominee and to no other. Consultant agrees to comply with every reasonable request of SRI, or its nominee, for assistance in obtaining and enforcing patents. Consultant understant termination of this association shall not release Consultant from obligations hereunder; provided, however, that the actually spent by Consultant in discharging those obligations after termination of this Contract shall be paid for be at a reasonable rate. It is agreed that Consultant will be reimbursed by SRI for any reasonable expense incurred at request of SRI, or its nominee hereunder, pursuant to this paragraph.	ds the
(1	b) not publish or make known to others any proprietary or confidential information either developed by or divulged Consultant in the course of performing assignments under this Contract without first having obtained the approva authorized officer of SRI.	to .
(6	advise SRI promptly upon discovering any actual or potential conflict of interest between any of Consultant's assignments for SRI and Consultant's work or activities on behalf of any other client or organization.	
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	Four hundred dollars per day (\$400/day) for the time devote work performed in accordance with Item I and will reimburse Consultant for such travel and other expenses as have be authorized by SRI.  October 1, 1983	d to
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Specimen Invoice over

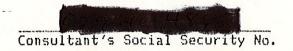
### RETAINER AGREEMENT

This is contract by and between SRI International, hereinafter referred to as SRI, and Mr. Ingo Swann, hereinafter referred to as the Consultant.

### IT IS HEREBY MUTUALLY AGREED AS FOLLOWS:

- 1. The Consultant will provide consulting services to the Psychoenergetics Program in the assessment of current programs and program development; in proposal development; in experiments; and in report preparation.
- 2. It is contemplated that the equivalent of one hundred and sixty four days (164 days) of service will be provided by the Consultant during the term of this agreement, in return for which SRI will pay to the Consultant the sum of Four Hundred Dollars per day (\$400/day), and will reimburse Consultant for travel and other reimbursable expenses. Intensive Consultant work periods shall be scheduled at times mutually agreeable to SRI personnel and the Consultant so as to not conflict with other project functions and responsibilities. The Consultant shall maintain records of his time and the general subject matter for the periods of time devoted to this contract, and shall make these records available to SRI on a periodic basis as required.
- 3. It is recognized that the Consultant brings to the Psychoenergetics Progrm a proprietary analysis technique, CRV, which shall remain his. The use of the CRV technique in the context of the SRI program shall take place only with the knowledge of the Consultant, and its proprietary status shall be noted. Appropriate security measures within the program shall be taken to protect said proprietary material. SRI shall be responsible for providing appropriate quarters, materials, and personnel for carrying on the CRV development work to the extent that such work is funded by SRI clients.
- 4. The additional terms and conditions set forth in the attached SRI Standard Consulting Contract (Form SRI 1600) are incorporated herein by reference.
- 5. This contract shall be for a term of twelve (12) months, beginning 1 October 1983 and ending 30 September 1983 unless sooner terminated as hereinafter approved. Either party may terminate this contract by giving the other party written notice at least thirty (30) days prior to the effective date of termination; it is, however, recognized that it is SRI's intention to honor the one-year contract committment, the availability of client funds permitting.

10ct 83	Lugo Swan
Date	Consultant
Date	For SRI International at Menlo Park



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10ct 83	Jugo Swan
Date	Consultant
Date	For SRI International at Menlo Park



Points of issue for which agreements must be reached and so stated in writing prior to signing a contract between SRI International and Ingo Swann (Consultnant).

- 1. It is to be understood that SRI is inviting the consultant to either conduct original research or work upon in a consultative status in areas of mutual interest, these areas being those in which the consultant is capable or potentially capable of achieving progress otherwise not generally feasible, and for which special and specific talents are necessary.
- 2. SRI's invitations must be tendered to the consultant in writing, by topic, and may be accepted or declined by the consultant. If accepted, the consultant will outline in writing a suggested workload and timetable along with financial implications. It is to be born in mind that original research often is misassessed as to probably completion times; however both SRI and the consultant will exert available efforts to achieve platforms along the way. If the work is declined by the consultant, with written reasons, no further undue pressures shall be permitted by SRI to be exerted.
- 3. The consultant has performed for several years now invitational research by SRI, and the consultant's track record should now achieve merit. Therefore SRI shall thing give all max, aid, assistance, help and faciltations to all novel ideas in terms of original research and attentive considerations to all suggestions for work progress tendered by the consultant.
- 4. SRI shall undertake to run interference as regards work confrontations, misunderstandings, personell quibbles, etc., as regards the consultants work and presence within the project. To this end, a clear definition of the consultants position vis a vis SRI and other SRI personnel shall be made clear and agreed upon.

- 5. SRI shall not task the consultant workloads the implications of which in intellectual time spent and physical pursuit of/shall exceed the days of /which work specified in the contract.
- 6. All reports, etc., are to be timeclocked in writing in advance, allowing for reasonable time for preparation of such reports. No reports are to be requested by SRI or by interested clients without allowance for appropriate reporting time to be established.
- 7. One/third to one/half the year's time to be spend in original research or consultanting matters is to be conducted in appropriate offices in New York. To this end the consultant shall be allowed reimbursement for expenses engendered in New York not to exceed \$12.000.00.
- 8. The consultant shall be allowed to express preferences among working people, and in no case shall be forced or expected to work with individuals towards whom the consultant has experienced past difficulties of cooperation.
- 9. The daily history of the SRI project has historically been wrought with emotional conflicts, confrontations and psychological difficulties. SRI shall take every effort to ensure that these, if they occur, are speedily resolved, and in lacu of speedy resolution, the consultant shall have the right to take the problem upwards in SRI management without interference.
- 10. All other matters not speficially related to original research or consultations upon substantive scientific or experimental matters shall likewise be tendered by both parties in writen form. Action items requested by the consultant shall be acknowledged as received promptly and a writen explatation as to how resolution of the requested matter is to be handled.



### CONSULTING CONTRACT

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<b>(</b> b)	not publish or make known to others any proprietary or confidential information either developed by or divulged to Consultant in the course of performing assignments under this Contract without first having obtained the approval of authorized officer of SRI.
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